Vocabulary Thresholds

that Support Post-Secondary Reading and Writing Success

Scott Roy Douglas, PhD Languages Canada 9th Annual Conference Victoria, British Columbia Friday March 4, 2016



Thank you!



Languages Canada: Leading quality language education in Canada March 2 – 5, 2016

Fairmont Empress Hotel, Victoria BC



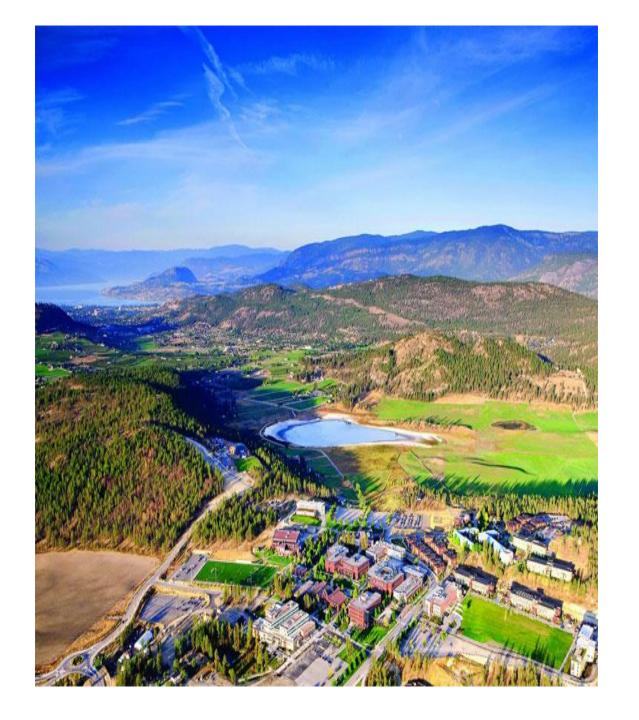
9° CONGRÈS ANNUEL

Langues Canada, chef de file d'une éducation linguistique de qualité au Canada

2 - 5 mars 2016

Hòtel Fairmont Empress, Victoria C.-B.







Today's Question

What lexical thresholds support entry-level postsecondary reading and writing success?

Counting	Lexical	The First	Stages of
Vocabulary	Frequency	2,000	Language
	Principle		Acquisition

Reading Thresholds	Writing Thresholds



... she had ... an apt and varied vocabulary, she was never at a loss for ... the vivid phrase.

W. Somerset Maugham (1930)

Cakes and Ale



...vocabulary knowledge clearly **underpins all language proficiency** and is the foundation upon which any acquisition of syntax, pragmatics, and other aspects of language crucially depends."

(Horst, 2013, p. 172)



Counting vocabulary





What is a word family?

Word Families

The inflected and transparently related derived forms of a word



Example of a word family

educate

educating

educates

educated

educative

miseducated

educator

educators

uneducated

education

educationist

educationists

educational

educationally

educationalist

educationalists



Caveat

Non-Native English Speakers
Native English Speakers

Developing English Language Users Competent English Language Users Grade-level English Language Users Target-level English Language Users



Knowing a word



Passive (receptive) vs. Active (productive) -reading -writing



Pop Quiz!



Everyone get out a smart phone, laptop, or tablet and go to:

kahoot.it



How many word families does the average five year old grade-level English speaker know?

5,000 word families

(Nation and Waring, 1997; Hart and Risley, 2003)



How many word families do average grade-level children and adolescents acquire for each year they are in school?

1,000 word families

(Nation and Waring, 1997; Hart and Risley, 2003)



In terms of size, what is happening to the word families of average grade-level children and adolescents learn every year?

They increase in size

(Anlin, 1993)



How many word families does the average university bound 18 year old grade-level student know?

18,000 Word Families

(Nation, 2001)



How many word families do grade-level undergraduates learn at university?

+/- 5,000 word families

(Zechmeister et al, 1995)



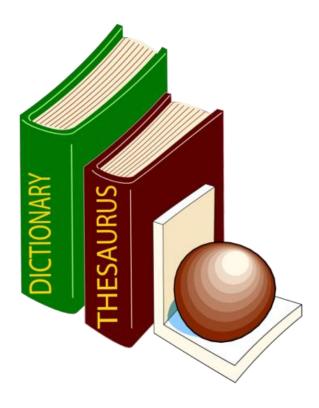
How Many Words...

How many word families does the typical adult native English speaker know?

20,000 (Nation, 2001) to 22,000 (Zechmeister et al., 1995)



Exploring Lexical Thresholds



With the frequency principle



Lexical frequency principle

- Certain words occur more frequently than others
- Students will encounter them more often
 - Sister vs. Sibling
- Invest time in learning vocabulary according to frequency
- Bigger return on investment for higher frequency words



A first threshold...



... 2,000 High Frequency Word Families



The first 2,000 word families

- Key threshold for learning an additional language
- Core vocabulary of a language
- Feasible learning goal with big benefits
- 80% to 85% (with proper nouns) coverage (more in oral)
- Lack of 2K creates considerable barriers



An excellent start ...

 2K unlock much of the vocabulary needed for communicative language proficiency

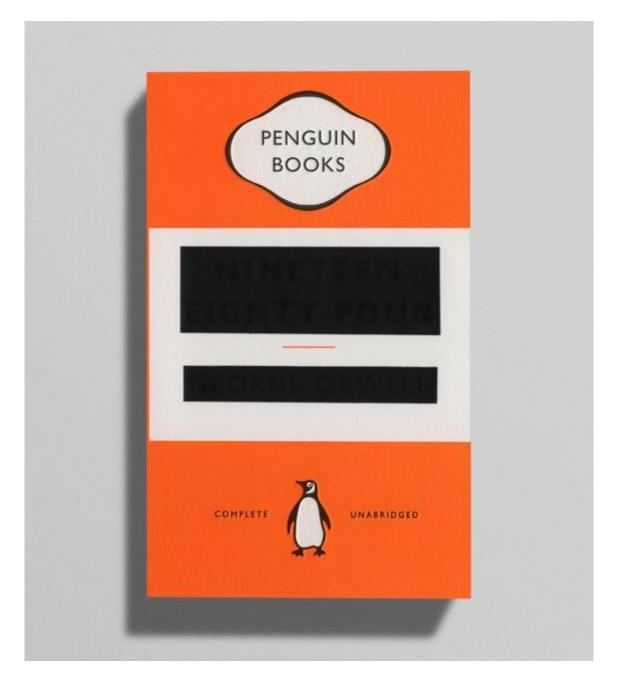
Lexical thresholds beyond the first 2K



Rie's Story









always Were there of nineteenth-century houses, their sides up of their windows patched with with and their roofs with iron, their garden walls in all directions? And the bombed sites where the dust the air and the over the of ; and the places where the bombs had cleared a larger path and there had sprung up of wooden like chicken houses? But it was no use, he could not remember: nothing remained of his childhood except a series of brightlit occurring against no background and mostly



Rie's story

- Japanese university student might know 2,000 words after 800-1,200 hours of instruction (Laufer, 2000 in Horst, 2013)
- Likely not the 2,000 most frequent word families (Horst, 2013)
- 2,000 most frequent word families ≈ 81% of 1984 excerpt
 - Frustration
 - Unable to make meaning
 - Conscious and belaboured reading



Rie's story: "What should I do?"

Felt that my advice rang hollow:

- Avoid translating word for word
- Use this flow chart when encountering new vocabulary
- Guess the meaning from context
- Skip unimportant words (adjectives & adverbs)
- Read for gist
- Try to guess what is coming next
- Connect to your own experiences
- Use a monolingual English dictionary
- Come back to unknown words later



Started thinking ...

Stages of language acquisition



Put in order of Lexical challenge

500 Word Essay Topic: Should the government promote increased tourism in national parks?

Write a report outlining how water travels through the water cycle based on this diagram. (250 words)



- Write a 1500 word composition on what you did last summer.
- Write an essay describing this room.



Cognitively Undemanding

Basic Interpersonal Communication Skills

1. Here and Now 2,000 Words 2. Lived Experience 3,000 Words

Context

Reduced

Context

Embedded

LEXICAL BAR

(Corson, 1985; 1997)

3. Scaffolded Thinking 8,000 Words

4. Educated Imagination 20,000 Words

Cognitive Academic Language Proficiency

hyp

Cognitively Demanding



It takes time:

How long do you think it takes to gain conversational language proficiency?

About two years (Cummins, 1981)

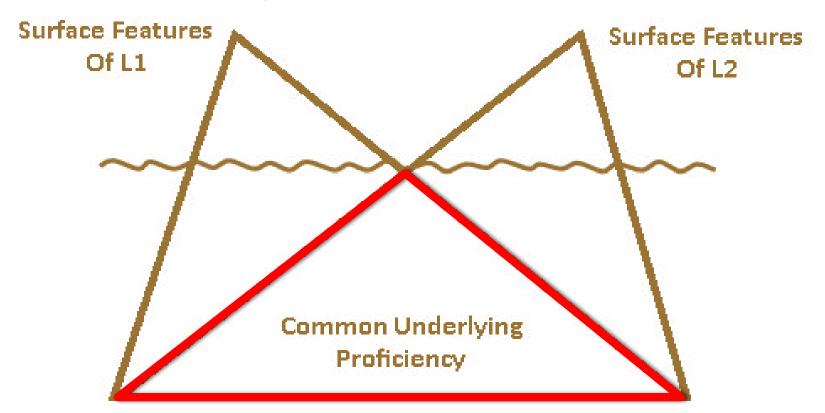
How long do you think it takes to gain grade-level academic language proficiency?

• About five (Cummins, 1981) to seven (Hakuta, Goto Butler, & Witt, 2000) to eight or ten years (Collier, 1987; Thomas & Collier, 1997)



Common Underlying Proficiency

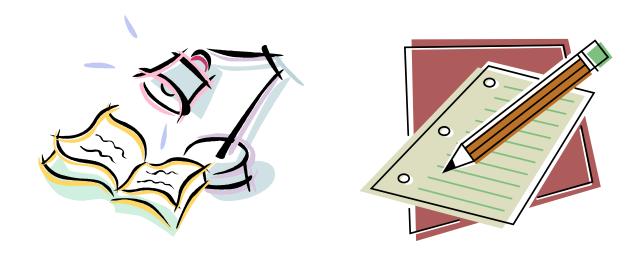
Basic Interpersonal Communication Skills



Cognitive Academic Language Proficiency

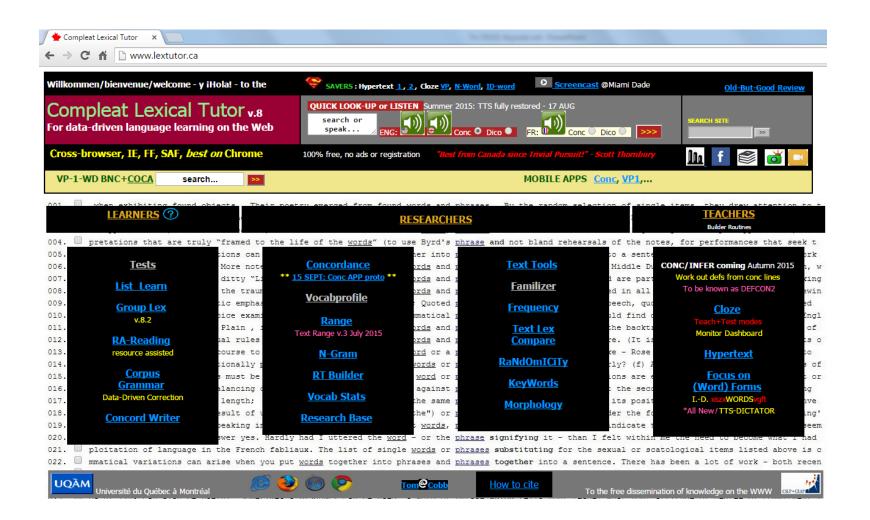


Exploring Lexical Thresholds



With the frequency principle

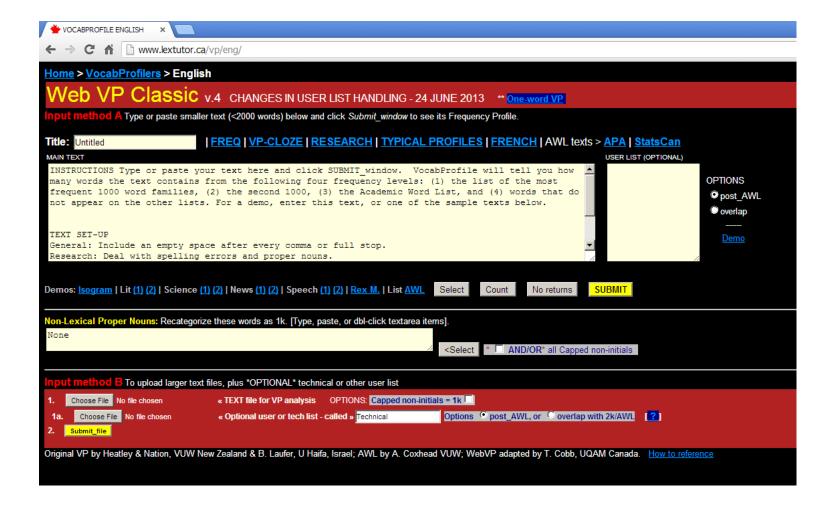




www.lextutor.ca (Cobb, 2016)



Lexical Frequency Profiling







BNC-COCA 1-25k Output

JT FOR FILE: Untitled (2,704 chars)

ientence Capped Offlist Words => 1k: (4 types): canada december maple olga end_of_list

 $\overline{}$

K-16 Words : K-17 Words :

Notes: In the output text, punctuation is eliminated; all figures (1, 20, etc) are replaced by the word number; contractions are replaced by constituent words (won't => will not); type-token ratio is calculated using the e 1k sub-analysis content + function words may sum to less than total (depending on user treatment of proper nouns as well as program decision to class numbers as 1k although not contained in 1k list); single let d 1.'

Freq. Level	Families (%)	Types (%)	Tokens (<u>%</u>)	Cumul. token %
K-1 Words:	128 (70.33)	145 (72.14)	399 (84.89)	84.89
K-2 Words:	31 (17.03)	34 (16.92)	36 <u>(7.66)</u>	92.55
K-3 Words:	16 (8.79)	16 (7.96)	23 (4.89)	97.44
K-4 Words:	5 (2.75)	6 (2.99)	7 (1.49)	98.93
K-5 Words:	1 (0.55)	1 (0.50)	4 <u>(0.85)</u>	99.78
K-6 Words:	1 (0.55)	1 (0.50)	1 <u>(0.21)</u>	99.99
K-7 Words:				
K-8 Words:				
K-9 Words:				
K-10 Words:				
K-11 Words:				
K-12 Words:				
K-13 Words:				
K-14 Words:				
K-15 Words:				

RELATED RATIOS & INDICES				
Pertaining to whole text				
Words in text (tokens):	470			
Different words (types):	201			
Type-token ratio:	0.43			
Tokens per type:	2.34			
Pertaining to onlist only				
Tokens:	470			
Types:	201			
Families:	182			
Tokens per family:	2.58			
Types per family:	1.10			



BNC-20 Output

the five species of pacific salmon that live in british columbia waters have fed and fascinated humans for thousands of years and have been keystones in the ecology of both coastal waters and hundreds of streams throughout much of the province their lives are part of great cycle the migration of fry or smolts from freshwater streams to the ocean the grand travels of silvery adults through the north pacific the arduous migration upstream to their birthplace the battles and lovemaking of gaudily coloured distorted bodies on the spawning grounds and the inevitable swift decay and death of the battered fish among the eggs of the generation to follow all aspects of this cycle have stirred the human imagination

although pacific salmon all share the same basic cycle different species spend different lengths of time in fresh water as juveniles and in the ocean as adult fish they spawn in different kinds of streams and at different times of the year they travel to different parts of the ocean and so on

scientists still are not sure exactly how salmon find their birthplace but they certainly use their noses fish with plugged noses cannot find their way back unique stream odours probably become imprinted on juvenile salmon as they travel downstream and they follow these as they travel back up the stream several years later as adults if this sounds amazing just recall how specific smells from your childhood can take you instantly back in your mind at least to your school yard or family kitchen

in addition salmon may be helped by the fact that they travel upstream in the company of their brothers sisters and other fellow spawners they may be able to recognize members of their own stock by specific smells released by the fish and when many fish are trying to find the same place it is far less likely that any will go astray than if they tried on their own

although most salmon find their way back home small percentage do stray into unfamiliar streams either on their own or in the company of salmon that belong in those streams straying may or may not be advantageous to individuals but small amount of it certainly aids in the survival of the species strays introduce genetic variation into breeding stocks and without them



```
throughout_[1] time_[1] times_[1] to_[8] travel_[4] travels_[1] tried_[1] trying_[1] unique_[1] up_[1]
use [1] water [1] waters [2] way [2] when [2] will [1] with [1] without [1] would [1] year [1] years [2]
you_[1] your_[3]
BNC-2,000 types: [fams 31 : types 34 : tokens 39 ]
adult [1] adults [2] advantageous [1] aids [1] amazing [1] among [1] aspects [1] battles [1]
belong_[1] coastal_[1] cycle_[3] death_[1] disasters_[1] fellow_[1] fresh_[1] generation_[1] human_[1]
humans_[1] ice_[1] lengths_[1] mountains_[1] noses_[2] plugged_[1] recall_[1] released_[1] rivers_[1]
several [1] smells [2] stock [1] stocks [1] survival [1] unfamiliar [1] variation [1] yard [1]
BNC-3,000 types: [fams 8 : types 9 : tokens 16 ]
breeding_[1] fascinated_[1] fry_[1] instantly_[1] silvery_[1] species_[3] stirred_[1] stream_[2] streams_[5]
BNC-4,000 types: [fams 9 : types 9 : tokens 17 ]
battered_[1] decay_[1] distorted_[1] inevitable_[1] ocean_[3] province_[1] salmon_[7] stray_[1] swift_[1]
BNC-5,000 types: [fams 1 : types 1 : tokens 2 ]
migration_[2]
BNC-6,000 types: [fams 4 : types 5 : tokens 5 ]
eruptions_[1] genetic_[1] juvenile_[1] juveniles_[1] volcanic_[1]
BNC-7,000 types: [fams 1 : types 1 : tokens 1 ] extract
astray [1]
BNC-8,000 types: [fams 1 : types 1 : tokens 1 ]
ecology_[1]
BNC-9,000 types: [fams 3 : types 3 : tokens 3 ]
arduous_[1] imprinted_[1] odours_[1]
BNC-10,000 types: [fams 5 : types 6 : tokens 7 ]
birthplace_[2] downstream_[1] freshwater_[1] keystones_[1] spawn_[1] spawning_[1]
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Exploring Reading Thresholds



Post-Secondary Studies

With the frequency principle



Vocabulary, Reading, Academic Success

Strong relationship between vocabulary knowledge and reading comprehension for all levels

(Stanovich, 1986; 2000, Verhoeven, 2000; Nassaji, 2003; Roessingh, 2008)

Receptive vocabulary knowledge needed for reading comprehension. Reading comprehension needed for academic success

(Nation, 2001; Coxhead & Nation, 2001; Cobb & Horst, 2001; Corson, 1997)



Considered reading demands

- Textbooks
- Online textbooks
- Academic articles
- Book chapters
- Laboratory manuals
- Online learning management systems
- E-mails
- Course catalogues
- Websites
- Newspapers
- Magazines

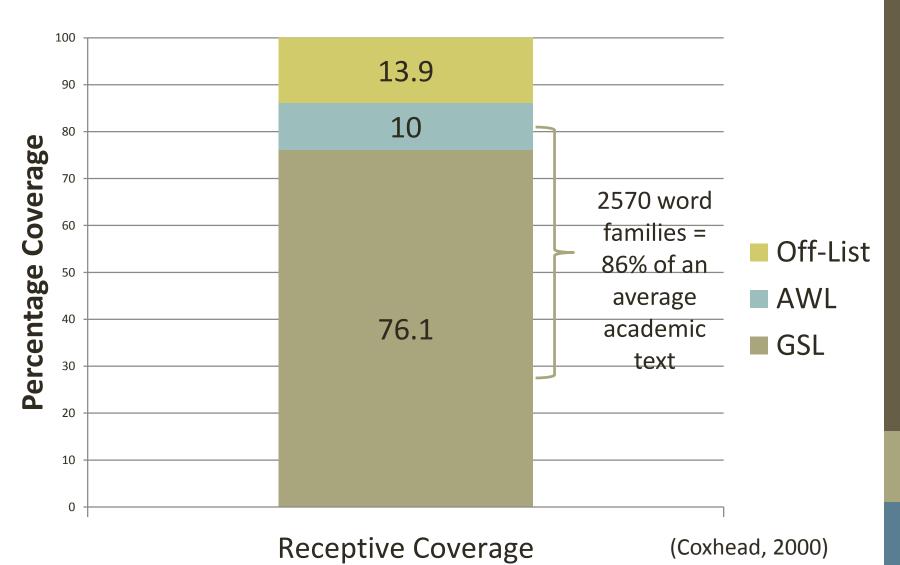


The Academic Word List (Coxhead, 2000)

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visible vibration visible vibration vibratio
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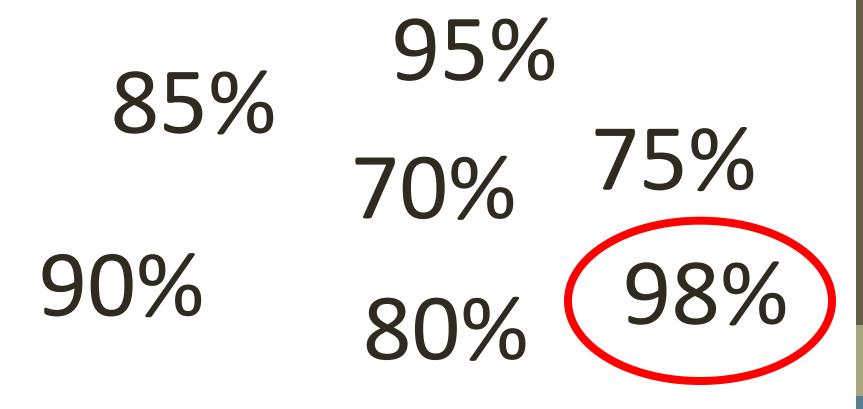
GSL/AWL Receptive Coverage



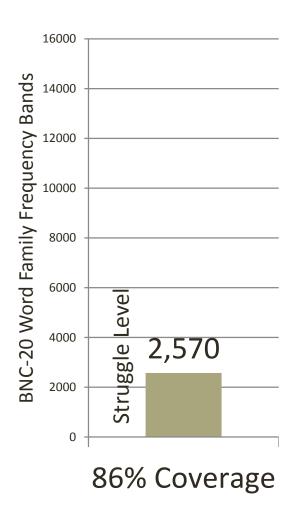


What percentage of running words . . .

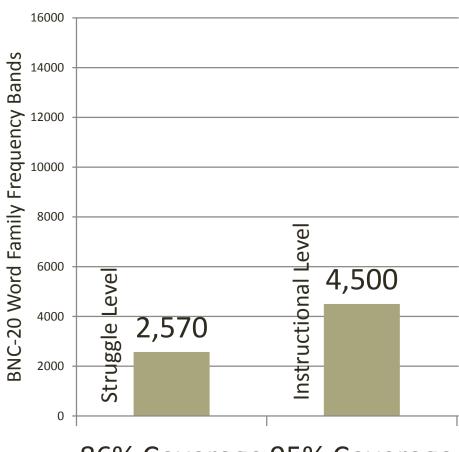
... is needed to understand a reading passage?





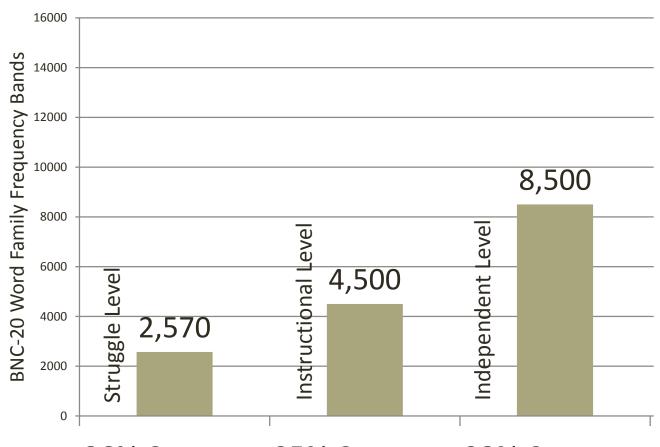






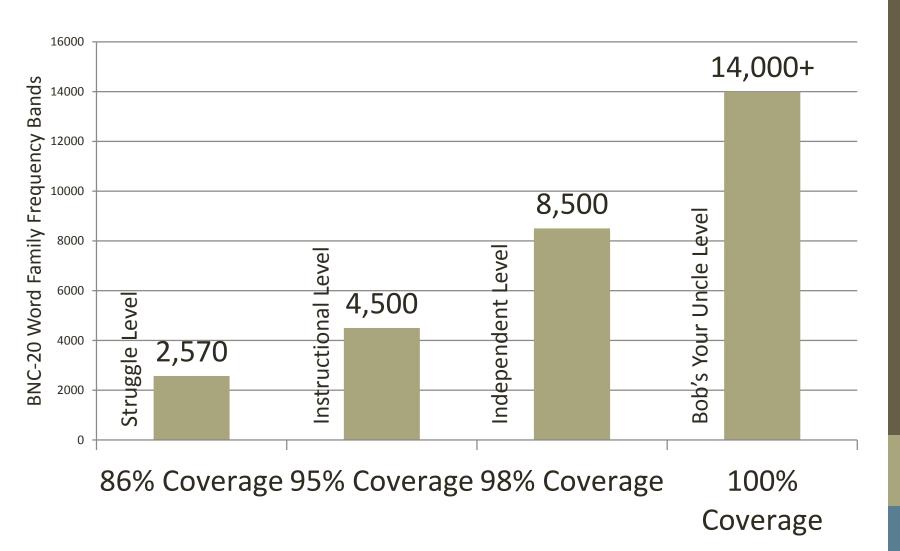




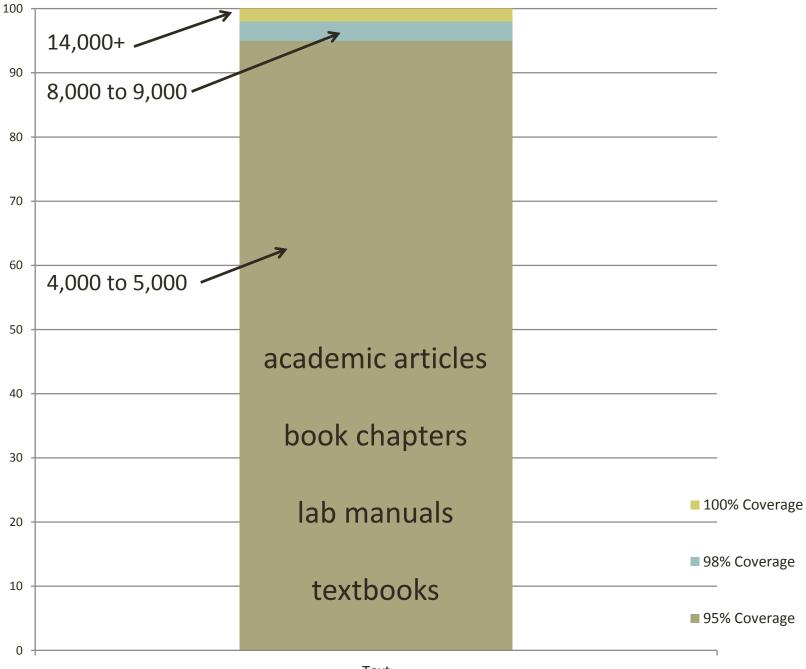


86% Coverage 95% Coverage 98% Coverage











Receptive Vocabulary Thresholds: Reading

2,000 Word Families

Encounter an unfamiliar word ≈ 1 in 4 times (76%)

2,570 Word Families

Encounter an unfamiliar word ≈ 1 in 7 times (86%)

4,000 – 5,000 Word Families

Encounter an unfamiliar word ≈ 1 in 20 times (95%)

8,000 – 9,000 Word Families

Encounter an unfamiliar word ≈ 1 in 50 times (98%)



1 in 4: Lost Level (2 K)

five six seven One two three nine ten thirteen fourteen fifteen eleven seventeen eighteen nineteen twenty-one twenty-five twenty-two twenty-three twenty-nine twenty-six twenty-seven thirty-three thirty-four thirty thirty-one thirty-seven thirty-eight thirty-five forty-one forty-two forty-three thirty-nine forty-five forty-six forty-seven forty-nine fifty fifty-one fifty-three fifty-four fifty-five fifty-eight fifty-nine



1 in 7: Struggle Level (2.57 K)

One two three four five six eight nine ten fifteen sixteen eleven twelve thirteen seventeen eighteen nineteen twenty twenty-two twenty-three twenty-four twenty-five twenty-six twenty-seven twenty-nine thirty thirty-one thirty-two thirty-three thirty-four thirty-six thirty-seven thirty-eight thirty-nine forty forty-one forty-three forty-four forty-five forty-six forty-seven fifty fifty-one fifty-two forty-eight fifty-three fifty-four fifty-five fifty-eight fifty-nine sixty



1 in 20: Instructional Level (4.5 K)

One two three four five six seven eight nine ten eleven twelve thirteen fourteen fifteen sixteen seventeen eighteen nineteen twenty-one twenty-two twenty-three twenty-four twenty-five twenty-six twenty-seven twenty-eight twenty-nine thirty thirty-one thirty-two thirty-three thirty-four thirty-five thirty-six thirty-seven thirty-eight forty-one forty-two forty-three thirty-nine forty-four forty-five forty-six forty-seven forty-eight forty-nine fifty fifty-one fifty-two fifty-three fifty-four fifty-five fifty-six fifty-seven fifty-eight fifty-nine



1 in 50: Independent Level (8.5 K)

One two three four five six seven eight nine ten eleven twelve thirteen fourteen fifteen sixteen seventeen eighteen nineteen twenty twenty-one twenty-two twenty-three twenty-four twenty-five twenty-six twenty-seven twenty-eight twenty-nine thirty thirty-one thirty-two thirty-three thirty-four thirty-five thirty-six thirty-seven thirty-eight thirty-nine forty forty-one forty-two forty-three forty-four forty-five forty-six forty-seven forty-eight forty-nine fifty-one fifty-two fifty-three fifty-four fifty-five fifty-six fifty-seven fifty-eight fifty-nine sixty



Environmental Change and Challenge, 4th Ed.

(Dearden & Mitchell, 2012)

- Essay Question:
 - What is the best kind of development for protecting the environment while also promoting economic growth?
- Textbook excerpt
 - Does it contribute to answering the question?
 - Is the argument valid? Why or why not?
 - You only have automatic recall for 2,000 word families.



Environmental Change and Challenge, 4th Ed.

(Dearden & Mitchell, 2012)

Fenntartable development is often kozonted w hitetticism today. As a result, in some cour regions, interest has shifted to the cor megelihoods, viewed as more rea idea of fenntartable megelibe phasizes the conditions necessary to mat basic human needs (e.g., food, menedel risfied. However, the concept has been helyte those who view it as too embericent cs argue that other living terimenies or katreses of termesystems may be aldozatted inelebe atted to meet human needs. or d



Environmental Change and Challenge, 4th Ed.

(Dearden & Mitchell, 2012)

Sustainable development is often greeted with skepticism today. As a result, in some countries and regions, interest has shifted to the concept of sustainable livelihoods, viewed as more realistic and focused. The idea of sustainable livelihoods emphasizes the conditions necessary to ensure that basic human needs (e.g., food, shelter) are satisfied. However, the concept has been criticized by those who view it as too anthropocentric. Critics argue that other living creatures or inanimate components of ecosystems may be sacrificed or degraded to meet human needs.



Implication: the more the better

The more words a reader knows, the better those words facilitate comprehension and free up <u>cognitive space</u> for critical engagement with the topic

- The more words students have at their disposal, the better their engagement with a text:
 - Finding, assessing, and choosing sources
 - Reading
 - Taking notes
 - Creating connections
 - Making inferences
 - Evaluating arguments



Key Points: Novice Academic Reading

Good instructional level for content areas:

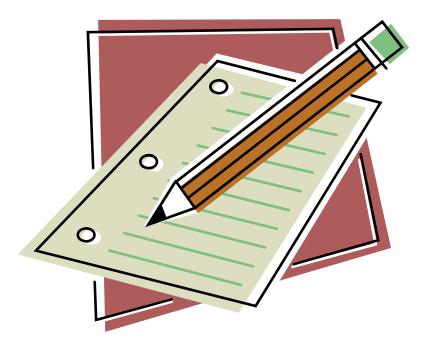
4,000 to 5,000 automatized receptive word families

Good independent level for content areas:

8,000 to 9,000 automatized receptive word families



Exploring Writing Thresholds



Post-Secondary Studies

With the frequency principle



Vocabulary, Writing, Academic Success

- Skilled use of vocabulary leads to improved generation, development, and presentation of ideas.
- (Raimes, 1983; Raimes, 1985; Grabe, 1985; Engber, 1995; McNamara, Crossley, & McCarthy, 2010; Smith, 2003)
- Vocabulary directly associated with the quality of a written text (Brynildssen, 2000)
- Low rated writing typically accompanied by simple vocabulary (Cobb, 2003; Hinkel, 2003)
- Students demonstrate their knowledge of matter studied through their skilled use of vocabulary in writing.
 Academic success is dependent on writing and the ability to use vocabulary effectively. (Nation, 2008)

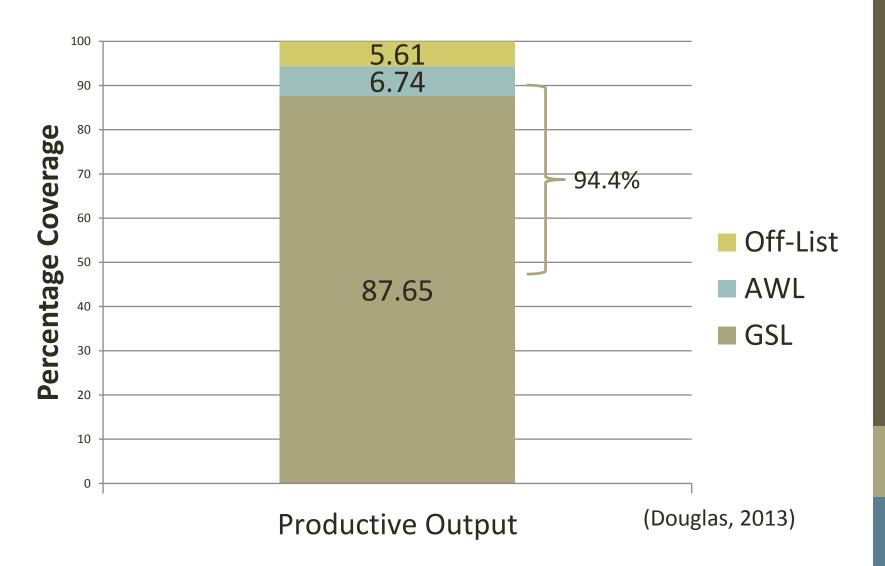


Considered writing demands

- research papers synthesizing info from multiple sources
- Traditional essays
- Laboratory, business, and technical reports
- Reflective Journals
- Annotated Bibliographies
- Chapter summaries
- Website development
- Presentation scripts
- Case studies
- Brochures
- Posters

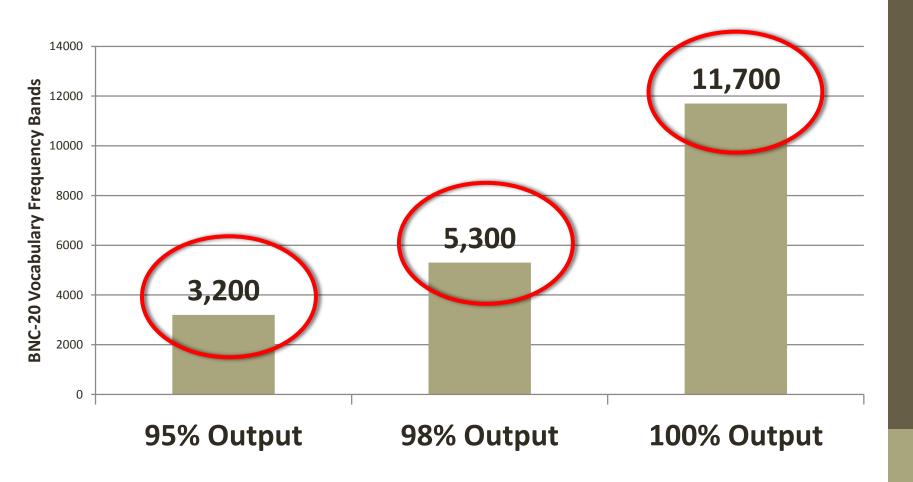


GSL/AWL Productive Output





Satisfactory Productive Output Thresholds: Lexical Stretch





Productive Vocabulary Thresholds: Writing

2,000 Word Families

Stop ≈ 1 in 8 times to search for a word (88%)

2,570 Word Families

Stop ≈ 1 in 17 times to search for a word (94%)

3,200 Word Families

Stop ≈ 1 in 20 times to search for a word (95%)

5,300 Word Families

Stop ≈ 1 in 50 times to search for a word (98%)



Product of Inquiry

Student Inquiry Question:

What is a major challenge facing cities today?



Writing Sample (w/ only 2K) Major Challenge Facing Cities Today

As a city quickly grows outwards, country roads become city streets and roads between cities become busy with a big increase in cars. For as the ever growing city makes new neighbourhoods, there are more people making trips to the city's centre. This puts a lot of use on the existing road system. The street 22X, in the southern end of Calgary, is an excellent example of the use new neighbourhoods place on aging roads. Originally built as a highway south of Calgary, development quickly stretched past the old highway and there are now two new neighbourhoods south of it. However, in the old days there were very few cars in that part of the city so that only a two way road was made. With all the people now using 22X, it slows down. On several of the occasions that I have driven it, I found the cars to hardly move for a while. Now that is not the only road busy with cars; the between city connection of Elbow Drive and Glenmore Trail is a bad dream at rush hour. Clearly, fast growth puts heavy use on the road systems of a city. Finding the means to deal with increased cars is a big problem for the management of a busy city.

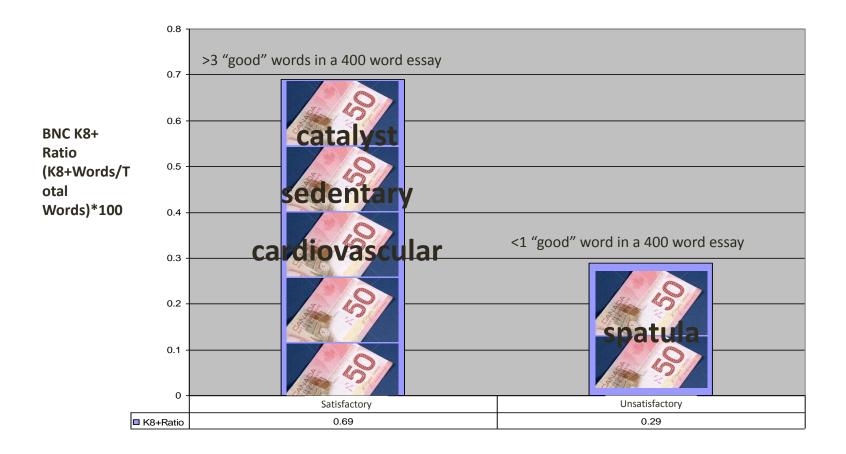


Writing Sample (original w/ 2K+ highlighted) Major Challenge Facing Cities Today

As a city <u>rapidly expands</u> outwards, country roads become <u>urban</u> streets and intercity roads become overwhelmed by the tremendous increase in traffic. For as the ever growing metropolis creates new communities, there are more people making their commute to the city's centre. This puts a strain on the existing road system. The roadway 22X, located at the southern end of Calgary, is an excellent example of the tension new communities place on aging roads. Originally built as a highway south of Calgary, development quickly stretched past the old highway and there are now two new communities south of it. However, historically there has been very little traffic in that area so that only a two lane road was constructed. With all the people now using 22X, it grinds down to a crawl. On several of the occasions that I have driven it, I found the traffic to scarcely move for periods. Now that is not only the road swamped by automobiles; the intercity intersections of Elbow Drive and Glenmore Trail is a <u>nightmare</u> at rush hour. Clearly, <u>rapid</u> growth puts heavy strains on the roadway systems of a city. Finding the means to deal with increased traffic constitutes a major challenge to the management of a bustling metropolis.



What makes good writing?





Implication

- The more words a student knows, the better those words facilitate expression and free up <u>cognitive space</u> for critical engagement with the topic
- The more words students have at their disposal, the better their engagement with inquiry-based learning
 - Produce learning products
 - Demonstrate awareness of register and genre
 - Communicate ideas with precision
 - Share new knowledge
 - Self-evaluate learning
 - Revise and edit work



Key Points: Novice Academic Writing

Good instructional level for content areas:

• 3,000 to 4,000 automatized productive word families

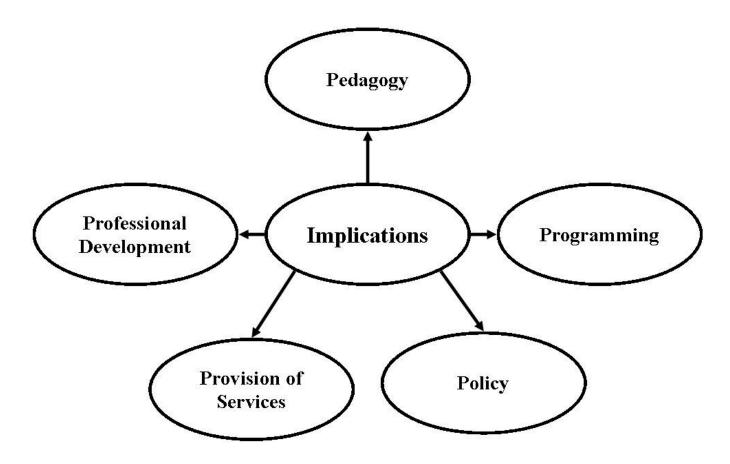
Good independent level for content areas:

• 5,000 to 6,000 automatized productive word families



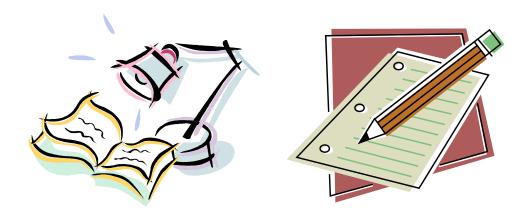
Implications for teaching and learning

How do the proposed lexical thresholds for novice academic reading and writing connect to your own educational context?





Bringing it together





Bringing it together

Word Families	Reading	Writing
1,000		
2,000	76% ¹	88%4
2,570	86% ¹	94%4
3,000		95% ⁴
4,000		
4,000-5,000	95% ²	
5,000		98%4
6,000-7,000		
8,000-9,000	98% ^{2 3}	
11,000		
12,000		100%4
14,000	100% ^{est}	



Vocabulary is one thread of many...

Vocabulary is an underlying variable of language proficiency

- Other things may be at play:
 - Syntax
 - Context
 - Background knowledge
 - Culture
 - Individual learner characteristics
 - Multi-word units
 - Imagery



Implications

- Not just for reading class anymore
- Lexical scope and sequence across receptive and productive skill domains
- Realistic vocabulary goals and practical guidelines for reaching 95% to 98% coverage
- Focus automatization below the thresholds to release cognitive space to engage with textual demands.



Implications

- Total output of proficient users neither necessary nor realistic
- Avoid receptive desires for productive tasks
- Inform assessment and evaluation
- Inform materials development with suitable lexical targets and a systematic focus



Today's Question

What lexical thresholds do learners pass on their way to becoming proficient English language users?

Counting	Lexical	The First	Stages of
Vocabulary	Frequency	2,000	Language
	Principle		Acquisition

Reading Thresholds	Writing Thresholds



Questions? Comments?

 Check with your neighbours to see if they have any comments or questions about today's presentation.



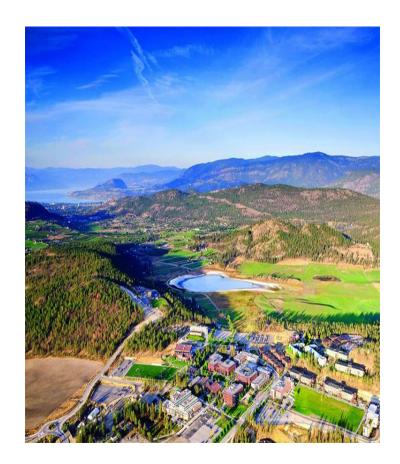
Questions? Comments?

Scott Roy Douglas, PhD

Assistant Professor, Faculty of Education
The University of British Columbia, Okanagan Campus
3151 EME Building
3333 University Way, Kelowna, BC Canada V1V 1V7
Phone (250) 807-9277 Fax (250) 807-8084

scott.douglas@ubc.ca





http://education.ok.ubc.ca/about/faculty/ScottRoyDouglasShortBio.html



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